

COMMISSIONER FOR PATENTS
Alexandria, VA 22313-1450

SERIAL NO. 10/047,792

IBM DOCKET NO. RSW920010048US1

DATE: September 13, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Barker, et. al.

Serial No.: 10/047,792

Filed: January 14, 2002

**Title: System and Method for Converting
Management Models to Specific
Console Interfaces**

**: Group Art Unit:
: Intellectual Property Law Department
: International Business
: Machines Corporation
: Software Group IP Law -- T81/503
: 3039 Cornwallis Road**

Research Triangle Park, NC 27709

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

This Supplemental Information Disclosure Statement is believed to be timely pursuant to 37 CFR § 1.97(b), therefore no additional fees are believed to be necessary. If, however, additional fees are deemed to be necessary, the Commissioner is hereby authorized to charge such fees to IBM's deposit account No. 09-0447.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

By Joseph T. Van Leeuwen
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In Place of FORM PTO-1449 (Modified)

Serial No. 10/047,792

Applicant: Barker, et. al.

Filing Date: 1/14/2002

Group:

Atty. Docket No. RSW920010048US1

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

U. S. PATENT DOCUMENTS

Examiner <u>Initial</u>		Document <u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	Filing Date if <u>Appropriate</u>
_____	AA	2003/0095142	05/2003	Patrizio et al.	345	744	
_____	AB	2002/0107872	08/2002	Hudis et al.	707	104.1	
_____	AC	2003/0135648	07/2003	Porter	709	246	
_____	AD	2003/0110073	06/2003	Briel et al.	705	10	
_____	AE	2002/0069275	06/2002	Tindal	709	223	
_____	AF	2003/0018964	01/2003	Fox et al.	717	177	
_____	AG	2003/0095145	05/2003	Patrizio et al.	345	764	
_____	AH	2004/0015889	01/2004	Todd et al.	717	137	
_____	AI	2002/0140743	10/2002	DeLuca et al.	345	835	
_____	AJ	2003/0177477	09/2003	Fuchs	717	136	
_____	AK	2002/0091809	07/2002	Menzies et al.	709	223	
_____	AL	6,708,074	03/2004	Chi et al.	700	121	
_____	AM	6,252,593	06/2001	Gti	345	781	

FOREIGN PATENT DOCUMENTS

Examiner <u>Initial</u>		Document <u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	Translation <u>Yes</u> <u>No</u>
_____	AN						
_____	AO						
_____	AP						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner <u>Initial</u>	
_____	AQ
_____	AR
_____	AS
_____	AT
_____	AU

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

COMMISSIONER FOR PATENTS
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SERIAL NO. 10/047,792

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Sir:

Assignee Name: International Business Machines Corporation

Assignee Residence: Armonk, New York

Transmitted herewith for filing is the Patent Application of:

Inventors: Barker, et al.

For: System and Method for Converting Management Models to Specific Console Interfaces

Enclosed are:

- ☒ Supplemental Information Disclosure Statement;
- ☒ Modified form 1449 listing 13 reference(s);
- ☒ copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- ☒ This Supplemental IDS is being filed pursuant to CFR § 1.197(b), therefore no fee as set forth in § 1.17(p) is believed to be due. If, however, more than three months have elapsed since the filing date and a first Office action on the merits has already been mailed, then please charge my Deposit Account No. 09-0461 the fees set forth in §
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0461. **A duplicate copy of this sheet is enclosed.**
- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

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Certificate of Mailing Under C.F.R. §1.8(a)

I hereby certify that this correspondence was sent via First Class Mail to the United States Patent Office in an envelope with sufficient postage addressed to Commissioner for Patents, Alexandria, VA 22313-1450 on September 14, 2004.

By: Joseph T. Van Leeuwen
Joseph T. Van Leeuwen

9/14/04
Date